

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. LEX-002C1

First Named Inventor Gillies

Title Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and General Immune Stimulation

APPLICATION ELEMENTS

 ADDRESS TO: Box Patent Application
 Commissioner for Patents
 Washington, D.C. 20231
 USPTO
 P.O. Box 2327
 Arlington, VA 22202

1. ☐ Fee Transmittal Form
2. ☐ Small Entity Status
☐ Applicant claims small entity status
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 45]
 - Written Description - (28 pages)
 - Claims - (5 pages)
 - Abstract - (1 page)
 - Sequence Listing - (3 pages)
 - Sheets of Drawings - (8 sheets)
☒ Formal
☐ Informal
4. ☒ Oath or Declaration [Total Pages 4]
 a. ☐ Newly executed (original)
 b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
5. ☒ Incorporation by Reference (usable if Box 4b is checked)
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet (2 pages)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
☒ Computer Readable Form (CRF)
☒ Substitute Paper Copy (identical to computer readable form) (2 pages)
☐ CD (2 copies) (identical to computer copy)
☒ Statement verifying identity of above copies (2 pages)

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)
☐ PTO-1449
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment (3 pages)
☐ Drawings [Total Sheets]
12. ☒ Return Receipt Postcard (specifically itemized)
13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☒ Other:
☒ Associate Power of Attorney (2 pages)
☒ Copy of petition for three-month extension of time and fee submitted on this day to BOX AF in prior application U.S.N. 08/986,997 (1 page)
☒ Copy of prior patent application 08/986,997 Cover Sheet (1 page)

17. ☒ If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence:
 --This is a
☒ continuation ☐ divisional ☐ continuation-in-part of U.S. application Serial No. 08/986,997, filed on December 8, 1997, the entire disclosure of which is incorporated by reference herein.--
Priority to the above application(s) is claimed under 35 U.S.C. 120.
 Prior application information: Examiner: Pak, M.. Group/Art Unit: 1646.

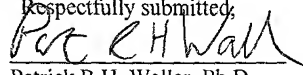
18. ☐ Priority - 35 U.S.C. 119
☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.
☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

 Direct all correspondence to: Patent Administrator
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SIGNATURE BLOCK

 Date: December 4, 2001
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 Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gillies et al.

SERIAL NO.: Continuation of GROUP No.: 1646
U.S.S.N. 08/986,997 (prior application)

FILING DATE: December 4, 2001 EXAMINER: Pak, M.
(prior application)

TITLE: Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and
General Immune Stimulation

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF PAPER COPY AND/OR COMPUTER READABLE COPY
OF SEQUENCE LISTING FOR INVENTION
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE**

Sir:

1. ☐ This replies to the Patent Office Letter dated
☐ A copy of the Patent Office Letter is enclosed.
2. Submitted herewith is/are
(check each item as applicable)
 - A. ☒ a paper copy of the Sequence Listing for this application with each sequence assigned a separate identifier. This substitute paper copy is produced using software, Patent In Version 2.0, supplied by the Patent Office, and replaces the Sequence Listing in the specification as filed.
 - B. ☒ a copy, in computer readable form, of the Sequence Listing for this application.

STATEMENT

3. I hereby state that:

(complete applicable items A, B and/or C)

- A. ☒ the content of the paper and computer readable copies submitted herewith are the same.
- B. ☐ the content of the computer readable copy submitted herewith is the same as the Sequence Listing appearing on pages __ to __ of the original specification as filed.
- C. ☒ this submission includes no new matter.

Respectfully submitted,



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